# Harassment Policy – Policy #23

## **Statement of Commitment**

Every worker is entitled to employment free of harassment. The Rural Municipality of Rosemount No. 378 is committed to a harassment-free workplace where everyone is treated with dignity and respect.

## **Definition of Harassment**

This harassment policy covers the following:

#### Harassment Based on Prohibited Grounds

This includes any inappropriate conduct, comment, display, action or gesture by a person that:

- Is made on the basis of race, creed, religion, colour, sex, sexual orientation, marital status, family status, disability, physical size or weight, age, nationality, ancestry or place of origin; and
- Constitutes a threat to the health or safety of the worker.

This type of harassment is prohibited in *The Saskatchewan Employment Act* (the Act) and *The Saskatchewan Human Rights Code.* 

It also extends to sexual harassment, which is conduct, comment, gesture or contact of a sexual nature that is offensive, unsolicited or unwelcome. Sexual Harassment may include:

- A direct or implied threat of reprisal for refusing to comply with a sexually-oriented request;
- Unwelcome remarks, jokes, innuendos, propositions or taunting about a person's body, attire, sex or sexual orientation;
- Displaying pornographic or sexually explicit pictures or materials;
- Unwelcome physical contact;

- Unwelcome invitations or requests, direct or indirect, to engage in behaviour of a sexual nature; or
- Refusing to work with or have contact with workers because of their sex, gender or sexual orientation.

#### **Personal Harassment**

This includes any inappropriate conduct, comment, display, action or gesture by a person that:

- Adversely affects a worker's psychological or physical well-being; and
- The perpetrator knows or ought to reasonably know would cause the worker to be humiliated or intimidated.

Personal harassment must involve repeated conduct or a single, serious incident that causes a lasting harmful effect on the worker. All incidents of inappropriate conduct should be appropriately addressed to ensure that the workplace remains respectful and free of harassment.

Personal harassment may include:

- Verbal or written abuse or threats;
- Insulting, derogatory or degrading comments, jokes or gestures;
- Personal ridicule or malicious gossip;
- Unjustifiable interference with another's work or work sabotage;
- Refusing to work or co-operate with others; or
- Interference with or vandalizing personal property.

## What is Not Harassment

This harassment policy does not extend or apply to day-to-day management or supervisory decisions involving work assignments, job assessment and evaluation, workplace inspections, implementation of appropriate dress codes and disciplinary action. These actions are not harassment, even if they sometimes involve unpleasant consequences. Managerial actions be carried out in a manner that is reasonable and not abusive. The policy also does not extend to harassment that arises out of circumstances unrelated to the worker's employment. For example, harassment is not covered if it occurs during a social gathering of co-workers that is not sponsored by the employer. However, harassment that occurs while attending a conference or training session at the request of the employer is covered.

Other situations that do not constitute harassment include:

- Physical contact necessary for the performance of the work using accepted industry standards;
- Conduct which all parties agree is inoffensive or welcome; or
- Conflict or disagreements in the workplace, where the conflict or disagreement is not based on one of the prohibited grounds.

Harassment can exist even where there is no intention to harass or offend another. Every person must take care to ensure his or her conduct is not offensive to another.

## **Employer's Commitment**

The Rural Municipality of Rosemount will take all complaints of harassment seriously. We are committed to implementing this policy and to ensuring it is effective in preventing and stopping harassment, as well as creating a productive and respectful workplace.

This commitment includes:

## Informing all persons in the workplace of their rights and obligations

• A copy of the harassment policy will be posted on the municipality bulletin board.

## Training all persons in implementing the harassment policy

- Administration will review the policy with new workers as part of their orientation.
- A list of Human Resources Committee members designated to receive complaints shall be posted on the municipality bulletin board.

#### Assigning responsibility for implementing this policy

- The Rural Municipality of Rosemount designates any member of the Human Resources (HR) Committee to receive harassment complaints and assist in facilitating their resolution.
- The HR Committee will consist of the following members:
  - the Administrator
    - AND
  - 2 members of the RM of Rosemount Council
- The HR Committee shall appoint an independent investigator/investigation team who will investigate harassment complaints should an investigation be required.
- Council authorizes the HR Committee to take corrective action in accordance with this policy.

#### Protecting workers trying to prevent or stop harassment

- Harassment complaints and investigations will be held in the strictest of confidence except where disclosure is necessary to investigate the complaint, take corrective action or required by law.
- Action will be taken to prevent reprisal against people who make a harassment complaint in good faith, which may mean informing complainants and alleged harassers of this commitment.

#### Promptly taking action necessary to stop and prevent harassment

- Appropriate action, sufficient to ensure the harassment stops and does not happen again, will be taken against people who are or were engaged in, or participated, in harassment.
- When necessary, customers, contractors or other visitors will be informed certain conduct will not be tolerated.

## **Employee's Duty**

In accordance with Part III of *The Saskatchewan Employment Act,* all workers, including Council and Administration employed by the Rural Municipality of Rosemount shall refrain from causing or participating in the harassment of another worker, and cooperate with any person investigating harassment complaints. Any employee of the Rural Municipality of Rosemount who observes or becomes aware of harassment should immediately advise a member of the HR Committee. It is important that these matters are promptly dealt with in a professional manner.

## **Complaint Procedure**

Any employee of the RM of Rosemount who believes that they have been or are being harassed should, if feasible, inform the harasser the conduct is unwelcomed and must stop.

If this course of action is not feasible, or it is unsuccessful than this policy sets out three types of complaint procedures that may be used following instances of harassment.

## 1. No Alleged Harasser Named and Informal Resolution Sought

Step 1: An individual reports an incident or concern

<u>Step 2:</u> The person receiving the complaint reviews the procedures with the complainant and informs the HR Committee.

<u>Step 3:</u> The HR Committee takes action to address the complaint.

Such action may include:

- Having staff meetings to discuss and review the policy; or
- Providing workshops or resource materials on the prevention of harassment such as videos, brochures and guides.

The HR Committee informs the complainant of the action that will be taken to address the concern.

<u>Confidentiality</u>: The RM of Rosemount, or anyone acting on behalf of the municipality, shall not disclose the complainant's name or other identifying information to any person. However, in certain circumstances, the complainant may agree to release identifying information to implement the harassment policy, the resolution process or the resolution itself.

## 2. Alleged Harasser Named – Informal Resolution or Mediation Sought

<u>Step 1</u>: An individual reports an incident or concern. The complaint should be in writing in a form consistent with the harassment complaint form attached to this policy.

Where an informal resolution is sought, the complainant should indicate the type of resolution sought such as an apology, supervisory counselling, a facilitated meeting with the alleged harasser, workshops or training sessions and mediation.

<u>Step 2</u>: The person receiving the complaint reviews the procedures with the complainant and informs the HR Committee. Where it is alleged that a member of the HR Committee is involved in the harassment, the alleged HR Committee member will be excluded from the HR Committee and replaced with another HR Committee member for that particular complaint. The person receiving the complaint will also meet privately with the alleged harasser to review the complaint and determine whether there is agreement on a resolution or resolution process.

<u>Step 3</u>: Where there is agreement on the resolution or resolution process, the person receiving the complaint informs the HR Committee of the agreement and facilitates the agreed upon resolution or process.

<u>Step 4</u>: The complainant is informed a formal complaint procedure is possible if the complainant, the alleged harasser or the HR Committee do not agree or if the process does not resolve the matter to the complainant's satisfaction.

<u>Step 5</u>: Where the complainant and alleged harasser agree to a resolution, the HR Committee follows up with the complainant to ensure the resolution was effective in stopping and preventing further harassment. Where the complainant indicates the harassment has not ended, the HR Committee counsels the complainant to pursue an alternate resolution process, including a formal investigation. <u>Confidentiality</u>: The RM of Rosemount, or anyone acting on behalf of the municipality, should not disclose the complainant's or alleged harasser's name or other identifying information. In certain circumstances, the complainant and alleged harasser may agree to release identifying information to implement the harassment policy, the resolution process, or the resolution itself.

## 3. Alleged Harasser Named – Investigation Required

<u>Step 1</u>: An individual reports an incident or concern. The complaint should be in writing and contain:

- The name, job title and contact information of the complainant;
- The name of the alleged harasser;
- Description of the conduct considered objectionable, including dates and locations of events;
- The names and contact information of any possible witnesses;
- Description of the basis of the alleged harassment, such as the prohibited grounds;
- The remedy sought;
- Any other information or material the complainant considered relevant; and
- The signature of the complainant and the date.

<u>Step 2</u>: The person receiving the complaint reviews the procedures with the complainant and provides a written copy to the HR Committee. Where it is alleged that a member of the HR Committee is involved in the harassment, the alleged HR Committee member will be excluded from the HR Committee and replaced with another HR Committee member for that particular complaint. The person receiving the complaint also provides a copy of the written complaint to the alleged harasser and reviews the procedures with the alleged harasser.

<u>Step 3</u>: The HR Committee reviews the complaint and determines whether conduct falls within the harassment policy. The HR Committee will decide whether there are appropriate resolution options, other than an investigation, acceptable to the complainant and the alleged harasser. The HR Committee will determine if an investigation is required.

<u>Step 4</u>: When an investigation is required, the HR Committee appoints an independent investigator or independent investigation team.

Alternatively, the independent investigator will be chosen from a list of independent investigators approved by the Council.

Where the complainant and the alleged harasser objects to the appointment of an investigator, on the basis of bias or conflict of interest, the HR Committee will appoint another investigator.

<u>Step 5</u>: Investigators must act in accordance with the following guidelines:

- The investigation commences and concludes as soon as reasonably possible.
- Witnesses are interviewed separately and written witness statements are prepared.
- Witnesses are asked to review and sign their written statements.
- Witnesses are advised to keep the investigation and the identity of the complainant and alleged harasser in confidence, unless required by law to disclose them.
- The complainant and alleged harasser are entitled to legal counsel, at their own expense.
- During the investigation, both the complainant and the alleged harasser are entitled to be informed of all allegations and allowed to respond.

This does not mean either party is entitled to see or receive copies of the complete statements. However, both are entitled to see or receive an adequate summary of the evidence to make a full response.

<u>Step 6</u>: Once the investigation is completed, investigators will prepare a written report setting out a summary of the evidence, a description of any conflict in the evidence, conclusions and reasons for reaching those conclusions, as well as the recommended corrective action if harassment has occurred. Where harassment has not been substantiated, no action will be taken against a worker who has made a complaint in good faith.

The confidential report will be delivered to the HR Committee, the complainant, and the alleged harasser. The report is marked confidential and delivered with the notation that it should be kept in confidence unless disclosure is required by law or is necessary to implement corrective action.

#### Step 7: The HR Committee will then:

- Take appropriate corrective action.
- Inform the complainant and harasser of the corrective action
- Inform the complainant of the rights to file a complaint with the Saskatchewan Occupational Health and Safety Division or the Saskatchewan Human Rights Commission.

Note: Where the corrective action is different from the action recommended in the report, the HR Committee should provide reasons for not taking the investigators' recommended action.

<u>Step 8</u>: After corrective action has been taken, the HR Committee follows up with the complainant to ensure that the corrective action was effective. If the complainant indicates harassment has not ended, or suffers reprisal, the HR Committee should take additional or alternative corrective action. Further investigation may be necessary.

<u>Confidentiality</u>: The RM of Rosemount, or anyone acting on behalf of the municipality, should not disclose the name of the complainant or the alleged harasser, nor any information that may identify them. The disclosure of such information may be necessary to conduct the investigation, implement corrective action or pursue other legal remedies.

All documents and statements obtained during the course of the investigation, including the names and copies of witness statements, should not be disclosed to any person unless required by law.

## **Taking Action to Stop and Prevent Harassment**

In taking action to stop harassment and prevent its reoccurrence, the RM of Rosemount will be guided by the following:

#### **Individual Awareness and Counselling**

People may not be aware of the effects of their behavior. In many cases, speaking to the person in private about the inappropriate behavior will help resolve a situation.

In certain instances, it may be more effective for the Reeve or a Councillor to speak with the offending person or to arrange and facilitate a meeting between the complainant and alleged harasser.

Complainants should not be encouraged to confront the alleged harasser if they are reluctant, if the alleged harassment is of a serious nature or if the alleged harasser denies the alleged conduct.

#### **Interim Action**

The HR Committee may have grounds to believe a complainant will be exposed to continued harassment or reprisal while waiting for the investigation or resolution process to occur. The HR Committee must take immediate action to protect the worker from continued harassment or reprisal. Any interim action should respect the alleged harasser's rights based on the employment contract.

Considering the above-mentioned rights, the HR Committee's action may include:

- Cautioning the alleged harasser about the types of behavior that will not be tolerated;
- If possible, providing work arrangements so the alleged harasser and complainant will not work together while waiting for a final determination;
- Suspending the alleged harasser with pay while waiting for a final determination.

#### Mediation

Mediation offers both parties the opportunity to develop an understanding of the problem and resolve the complaint before or during the formal investigation process. The mediator facilitates separate discussions or joint meetings between the complainant and the alleged harasser.

Mediation may take place at any point in the resolution process as long as both parties agree to participate. Where the complainant and alleged harasser agree to participate in mediation, the RM of Rosemount shall arrange for a mediator, who is trained and independent.

#### **Disciplinary Action**

An employee who has knowingly engaged or participated in the harassment of a coworker will be disciplined. Personnel who engage in harassment as defined by this policy or who intentionally misuse the processes described in this policy, or who knowingly make a false allegation shall be subject to disciplinary action.

Discipline may include reprimand, demotion, suspension or termination of employment.

The severity of discipline will depend on:

#### Seriousness of the Alleged Conduct

- Whether the conduct is an offence under the criminal code;
- Whether the conduct is an offence under the Act or *The Saskatchewan Human Rights Code;*
- The extent of the mental or physical injury cased to the complainant;
- Whether the harasser persisted in behavior that was known to be offensive to the complainant; or
- Whether the harasser abused a position of authority.

## Risk of the Harasser Continuing with Similar Harassment of the Complainant or Others

- Whether the harasser acknowledges that conduct was unacceptable and makes a commitment to refrain from future harassment;
- Whether the harasser has apologized to the complainant or taken action to repair any harm; or
- Whether the harasser has agreed to participate in awareness sessions, training or other recommended counselling or treatment.

## **Third-Party Harassers**

This policy covers harassment connected to any matter or circumstance arising out of the worker's employment. Customers, clients, contractors or their workers and others invited to the workplace could harass an employee.

The RM of Rosemount may have limited ability to investigate or control their conduct. However, the municipality shall take reasonably practicable action to stop or reduce the risk to its workers of being harassed by third parties.

This action may include:

- Posting the harassment policy in a location visible to third parties; and
- Requiring certain contractors and their workers to accept and meet the terms of the harassment policy; and
- Removing and/or banning workers who participate in harassment.

Where a client or customer has been asked to stop abusing or harassing a worker and does not, workers are authorized to:

- End telephone conversations;
- Politely decline services; and
- Ask the customer or client to leave the workplace.

Where the worker is receiving non-acceptable or excessive complaint(s) from a client, customer or ratepayer of the municipality, the worker should advise the complainant to direct the complaint to the Reeve or a Councillor or address the issue by attending a meeting of Council.

## **Other Options for Complainants**

Nothing in this policy prevents or discourages a worker from referring a harassment complaint to the Saskatchewan Occupational Health and Safety Division under *The Saskatchewan Employment Act* and regulations.

A worker may also file a complaint with the Saskatchewan Human Rights Commission under *The Saskatchewan Human Rights Code*.

A worker also retains the right to exercise any other legal avenues available.

Approved by Council this \_\_\_\_\_ day of \_\_\_\_\_, 2019.

Reeve

Administrator